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Page 1 of 2

FORM PTO-1449  
SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

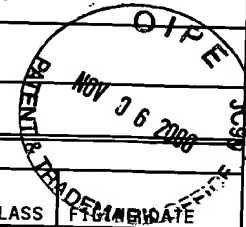
ATTY. DOCKET NO.  
1488.1310002/EKS/EJH

APPLICATION  
09/042,583

APPLICANT  
NI et al.

FILING DATE  
March 17, 1998

GROUP  
1646



## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|----|-----------------|------|------|-------|-----------|-------------|
|                     | AA |                 |      |      |       |           |             |
|                     | AB |                 |      |      |       |           |             |
|                     | AC |                 |      |      |       |           |             |
|                     | AD |                 |      |      |       |           |             |
|                     | AE |                 |      |      |       |           |             |
|                     | AF |                 |      |      |       |           |             |
|                     | AG |                 |      |      |       |           |             |
|                     | AH |                 |      |      |       |           |             |
|                     | AI |                 |      |      |       |           |             |
|                     | AJ |                 |      |      |       |           |             |
|                     | AK |                 |      |      |       |           |             |

## FOREIGN PATENT DOCUMENTS

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|---------------------|-----|-----------------|------------|----------|-------|-----------|-------------|
|                     | AL  |                 |            |          |       |           | Yes<br>No   |
|                     | AM  |                 |            |          |       |           | Yes<br>No   |
|                     | AN1 | WO 99/02653     | 01/21/1999 | WIPO PCT |       |           | Yes<br>No   |
|                     | AO  |                 |            |          |       |           | Yes<br>No   |
|                     | AP  |                 |            |          |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|    |   |  |
|----|---|--|
| AR | 1 | Chaudhary, P.M. et al., "Death Receptor 5, a New Member of the TNFR Family, and DR4 Induce FADD-Dependent Apoptosis and Activate the NF- $\kappa$ B Pathway," <i>Immunity</i> 7:821-830 (December 1997). |
| AS | 1 | Marsters, S.A. et al., "A novel receptor for APO2L/TRAIL contains a truncated death domain," <i>Curr. Biol.</i> 7:1003-1006 (December 1997).   |
| AT | 1 | Rieger, J. et al., "APO2 ligand: a novel lethal weapon against malignant glioma?" <i>FEBS Lett.</i> 427:124-128 (May 1998).  |

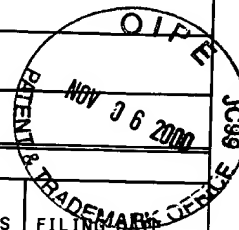
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SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1488.1310002/EKS/EJHAPPLICATION NO.  
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NI *et al.*FILING DATE  
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|                     | AN |                 |      |         |       |           | Yes<br>No   |
|                     | AO |                 |      |         |       |           | Yes<br>No   |
|                     | AP |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |     |   |
|--|----|-----|---|
|  | AR | 2 - | Schneider, P. <i>et al.</i> , "TRAIL Receptors 1 (DR4) and 2 (DR5) Signal FADD-Dependent Apoptosis and Activate NF- $\kappa$ B," <i>Immunity</i> 7:831-836 (December 1997).   |
|  | AS | 2 - | Sheikh, S.M. <i>et al.</i> , "p53-dependent and -independent Regulation of the Death Receptor <i>KILLER/DR5</i> Gene Expression in Response to Genotoxic Stress and Tumor Necrosis Factor $\alpha$ ," <i>Cancer Res.</i> 58:1593-1598 (April 1998). |
|  | AT | 2 - | Zamai, <i>et al.</i> , "Natural killer (NK) Cell-mediated Cytotoxicity: Differential Use of TRAIL and Fas Ligand by Immature and Mature Primary Human NK Cells," <i>J. Exp. Med.</i> 188:2375-2380 (December 1998).                                 |

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## THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1488.1310002APPLICANT  
NI et al.FILING DATE March 17, 1998  
(CPA filed July 24, 2000)APPLICATION NO.  
09/042,583GROUP  
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## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE       | NAME         | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|------------|--------------|-------|-----------|-------------|
|                     | AA1 | 6,072,047       | 06/06/2000 | Rauch et al. | 536   | 23.5      | 06/26/1997  |
|                     | AB  |                 |            |              |       |           |             |
|                     | AC  |                 |            |              |       |           |             |
|                     | AD  |                 |            |              |       |           |             |
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|                     | AG  |                 |            |              |       |           |             |
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|                     | AN |                 |      |         |       |           | Yes<br>No   |
|                     | AO |                 |      |         |       |           | Yes<br>No   |
|                     | AP |                 |      |         |       |           | Yes<br>No   |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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|--|----|--|--|
|  | AR |  |  |
|  | AS |  |  |
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Clara M. Ke

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